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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/076,248		
Filing Date	July 22, 2002		
First Named Inventor	Mitchell et al.		
Group Art Unit	1645		
Examiner Name	To be assigned		
Attorney Docket Number	A31304-B-A-F 069906.0105		

		ENCLOSURES	(check all t	that apply)		
Fee Transmittal Form		Assignment Papers (for an Application)		After Allowance Communication to Group		
Fee Attached	<u>~</u>	Drawing(s)		Appeal Communication to Board of Appeals and Interferences		
Amendment / Reply		Licensing-related Pape	rs	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final		Petition		Proprietary Information		
Affidavits/decla	aration(s)	Petition to Convert to a Provisional Application		Status Letter		
Extension of Time Rec	quest	Power of Attorney, Rev Change of Correspond Address	ence	Other Enclosure(s) (please identify below):		
Express Abandonmen	nt Request	Terminal Disclaimer Request for Refund	with	omission of Sequence Listing n Sequence Listing in nputer and paper forms; and		
Information Disclosure Statement		an executed Combined		executed Combined claration and Power of Attorney		
Certified Copy of Prior Document(s)		emarks				
Response to Missing Incomplete Application				•		
Response to Munder 37 CFR		×				
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Film	akerBotts LLP 0 Rockefeller Plaza					
I *'	•					
10	ew York, NY 10112		Att Name: Ca	rmolla I. Stophone		
Signature	urmilla J. &	Tohur		rmella L. Stephens 328		
	uly 22, 2002	,				

CERTIFICATE OF MAILING						
I hereby certify that this corresp	condence is being deposited with the United State	s Postal Service	with sufficient postage	as first class		
mail in an envelope addressed	to: Commissioner for Patents, Washington, DC 20	0231 on this date	^{3:} July 22, 2002			
Typed or printed name	Carmella L. Stephens					
Signature	Carmera L. Stephens	Date	July 22, 2002			

BAKER BOTTS LLP	Attorney Docket Number: A31304-B-A-F 069906.0105				
Title: METHODS AND COMPOSITIONS FOR USE IN SPLICEOSOME MEDIATED RNA TRANS-SPLICING					
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Use Space Below for Additional Information:					
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A31304-B-A-F 069906.0105

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Mitchell et al.

Serial No.

10/076,248

Examiner

)FFICE CENTER 1600/2900
To be assigned 2900

Filed

February 12, 2002

Group Art Unit:

1645

For

METHODS AND COMPOSITIONS FOR USE IN SPLICEOSOME

MEDIATED RNA TRANS-SPLICING

RESPONSE TO NOTICE TO FILE MISSING PARTS

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

July 22, 2002

Date of Deposit

Carmella L. Stephens

Attorney Name

PTO Registration No

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated March 20, 2002, please find

enclosed:

- a Combined Declaration and Power of Attorney, executed by the (i) Applicants;
- substitute drawings, in compliance with 37 CFR 1.84; and (ii)

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- (iii) a Submission of Sequence Listing, with the Sequence Listing in computer and paper forms and a statement that the sequence listing information recorded on the computer readable form is identical to the written one; and
- (iv) a Petition for Extension of Time under 37 CFR 1.136(a), up to and including July 22, 2002.

The Commissioner is hereby authorized to charge the fee of \$265 (comprised of \$65 declaration surcharge and \$200 extension-of-time fee for two months) and any additional fees associated with this communication to Deposit Account No. 02-4377. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Rochelle K. Seide

PTO Registration No. 32,300

Carmella L. Stephens PTO Registration No. 41,328

Attorneys for Applicant

BAKER BOTTS, L.L.P. 30 Rockefeller Plaza New York, NY 10112 (212) 408-2539

Encls.



UNITED STATES PATENT AND TRADEMARK OF

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/076,248

02/12/2002

Lioyd G. Mitchell

31304-B-A-F

21003 **BAKER & BOTTS** 30 ROCKEFELLER PLAZA NEW YORK, NY 10112



CONFIRMATION NO. 6317

FORMALITIES LETTER *OC000000007678911*

Date Mailed: 03/20/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/20/2002 YGIZAW

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02 FC:205

65.00 CH

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment.

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes

no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE